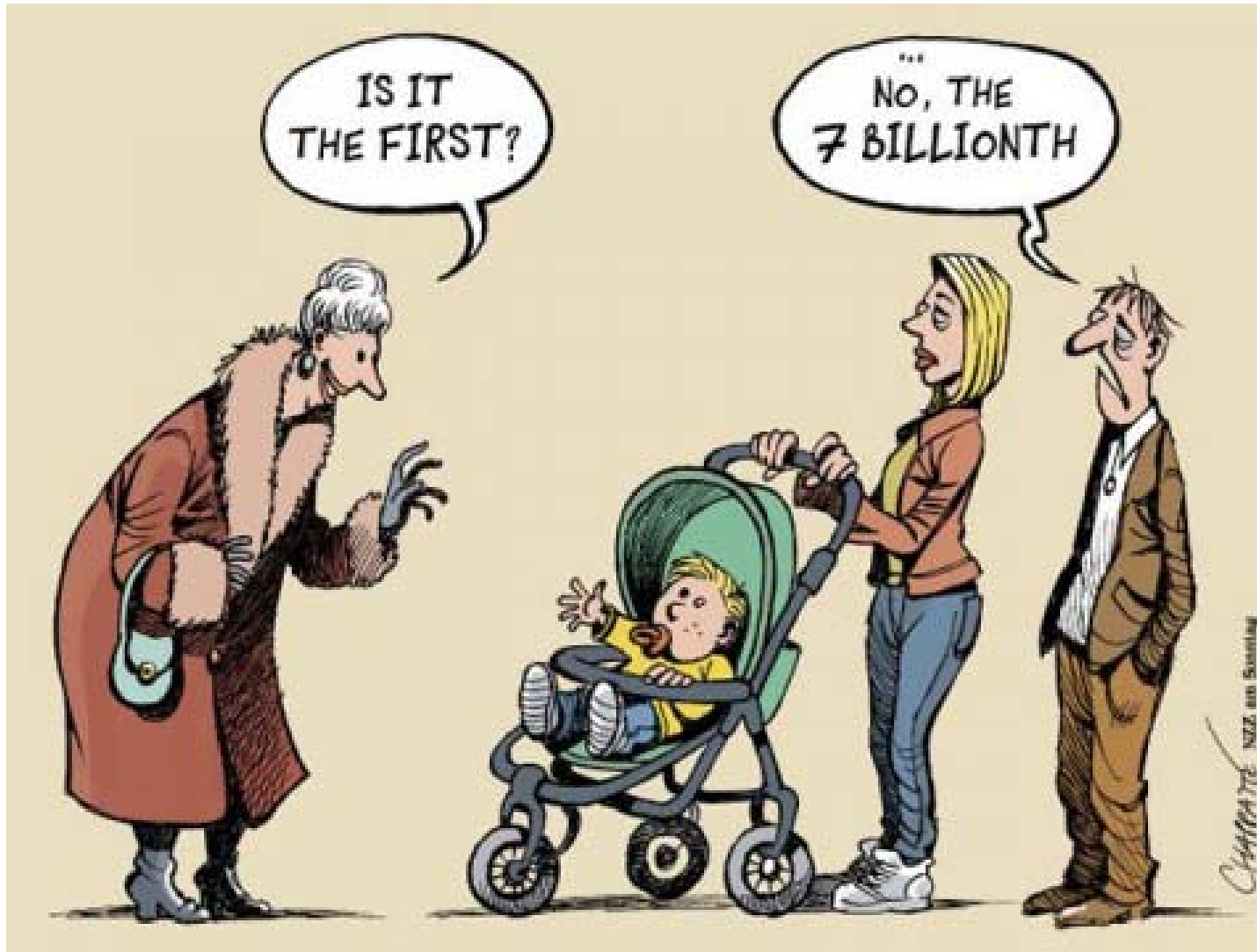


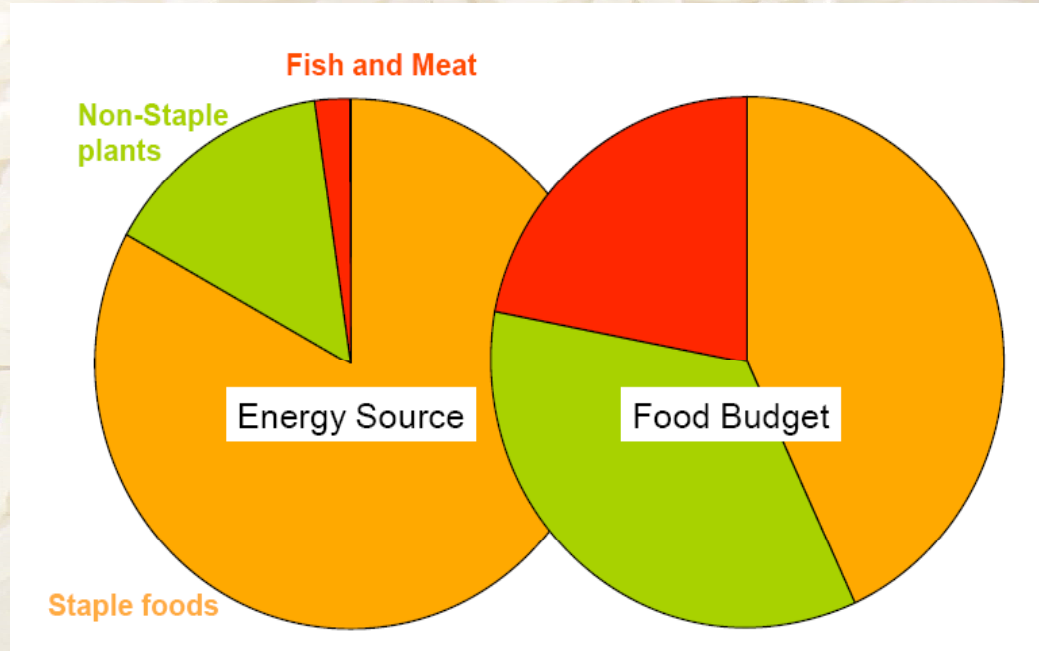
Population growth - fading resources



Context and Purpose

Share of Energy Source & Food Budget in Rural Bangladesh

Staples are cheapest



Source: H. Bouis, IFPRI

Philippines Rice Price (Retail)



Source: FAO, GIEWS food price data & analysis tool

A trend

Directly, or indirectly, plants provide all of Humanity's food

- More of our food comes from fewer species (globally, 54% from corn, rice and wheat).
- Loss of biochemical diversity in the diet.
- Plants, in principle provide all macro -and micro-nutrients required, but the latter are very unevenly distributed.

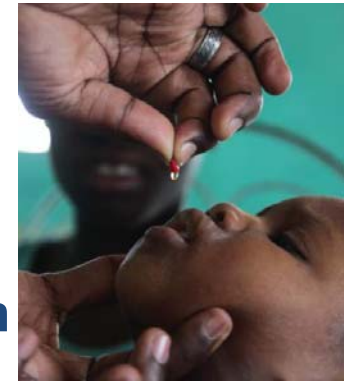
Rice, for instance

Milled grain:	Provit A (-)	Folate (-)	Iron (-)	Zinc (-)
Leaf:	Provit A (+)	Folate (+)	Iron (+)	Zinc (+)

■ Context and Purpose

„Hidden Hunger“ is long known

Supplementation – Fortification - Education – Diversification
did not eradicate the problem (despite progress made)



The Copenhagen Consensus 2012

16 investments worthy of investment (decending order of desirability)

1. Bundeled micronutrient interventions to fight hunger and improve education
2. ...Malaria
3. ...Childhood Immunization
4. ...Schoolchildren deworming
5. ...Tubeculosis treatment
6. ...Increase yield enhancement
- 7 – 13 ...
14. ...HIV vaccine R&D
15. – 16.

The Millenium Goals

189 countries agreed in 1990 to reduce significantly within 25 years suffering from malnutrition and the mortality rate among children under 5 years of age

Biofortification: A complementary intervention

Crop plants are being fortified by means of their own biosynthetic (vitamins) or physiological (minerals) capacities, achievable through

- Breeding techniques (classical, MAS, mutagenesis, wide crosses)
- Recombinant DNA technology

Is all achievable through breeding ?

Simple answer: NO!

- Some crops cannot be bred or breeding is very difficult (e.g. banana, cassava)
- Trait variability is insufficient

■ Context and Purpose

Some traits cannot be bred because trait variability is inadequate

Rice, (polished grains), for instance

Provitamin A: No „yellow grains“ in germplasm collections

Folate: Practically absent

Iron: low variability, ranging from 1 – 8 ppm (Target 14 ppm)

Zinc: much more important variability, ranging from 16 – 28 ppm (Target: 24 ppm)





Golden Rice cannot be bred

...recombinant DNA technology is necessary

Breeding where possible

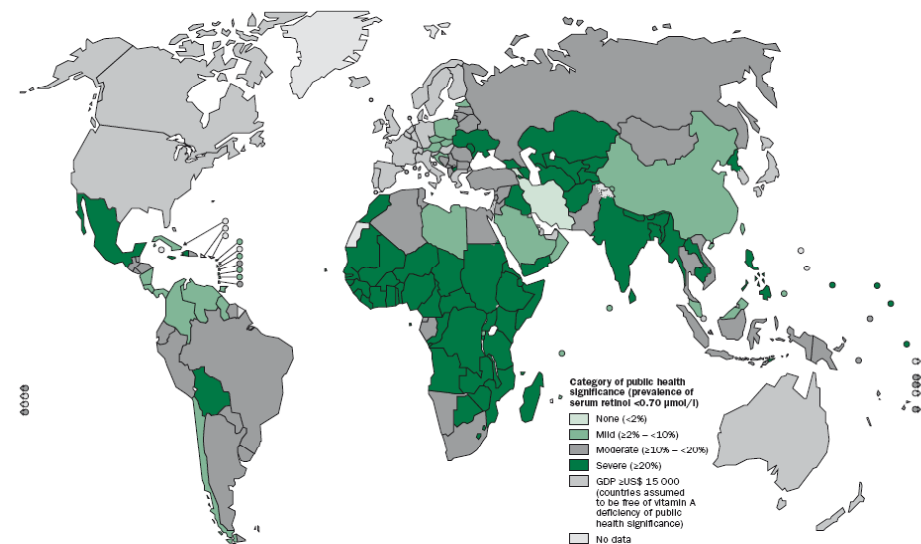
Genetic modification where required

The need for vitamin A

A conservative estimation:

- Globally, approximately 670,000 children die every year because they are vitamin A–deficient.
- Another 350,000 children go blind.
- More than 90 million children in Southeast Asia suffer from vitamin A deficiency, more than in any other region.
- More than 190 million preschool children and 19 million pregnant women are vitamin A deficient, globally

Biochemical vitamin A deficiency (retinol) as a public health problem by country 1995–2005:
Preschool-age children



Source: Global prevalence of vitamin A deficiency in populations at risk 1995–2005

WHO Global Database on Vitamin A Deficiency

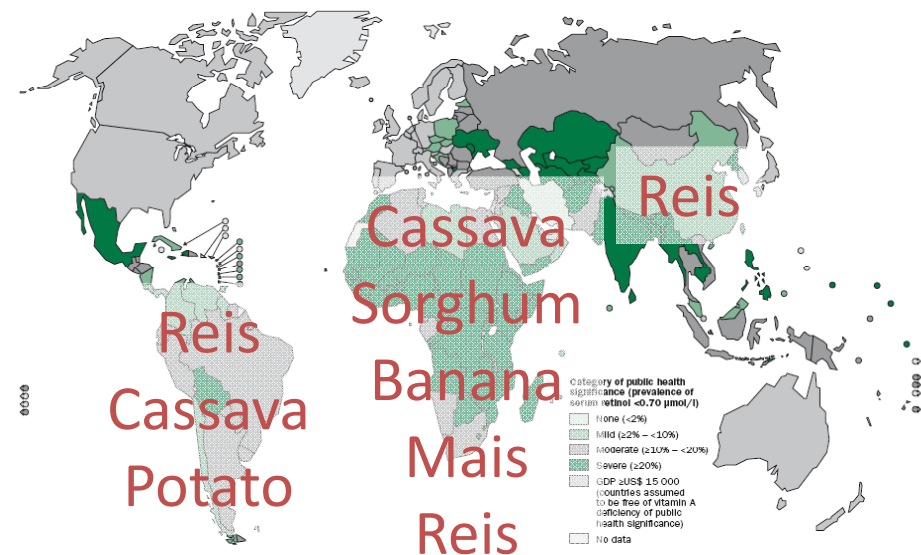
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Provitamin A Biofortified Crops

Biochemical vitamin A deficiency (retinol) as a public health problem by country 1995–2005:
Preschool-age children



Source: Global prevalence of vitamin A deficiency in populations at risk 1995–2005

WHO Global Database on Vitamin A Deficiency

■ Context and Purpose

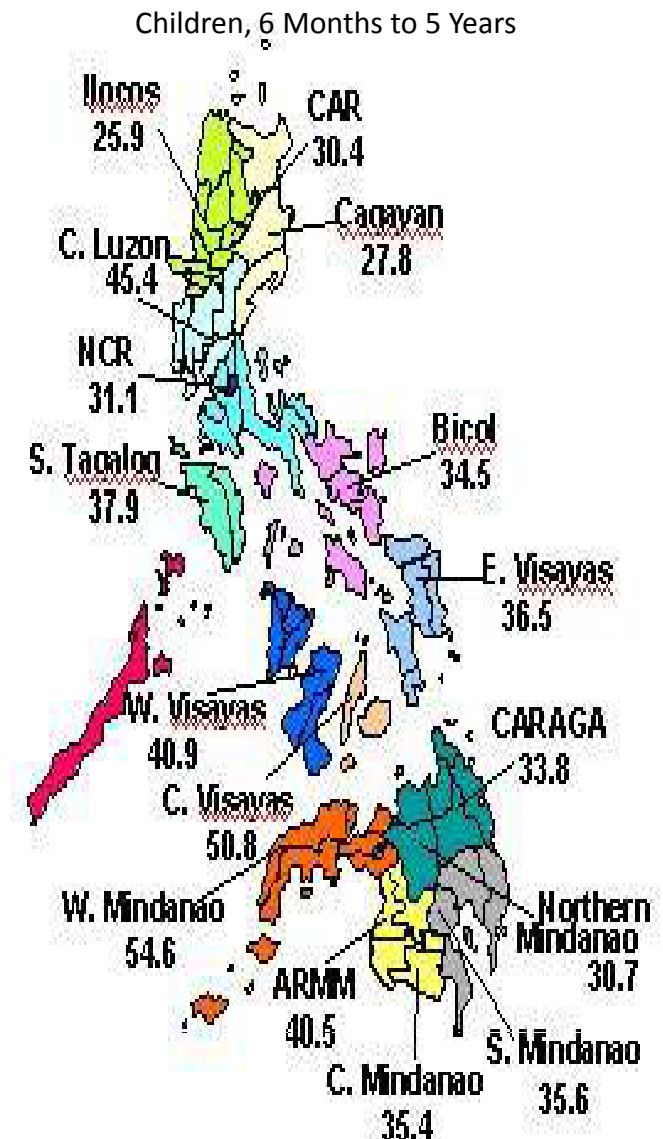
Vitamin A deficiency in primary target countries

In the Philippines

- Approximately 1.7 million children aged 6 months to 5 years are affected.
- Subclinical vitamin A deficiency affects one out of every ten pregnant women.

In Bangladesh

- 1 out of 5 children aged 6 months to 5 years are estimated to be vitamin A-deficient
- Among pregnant women, 1 out of 4 are affected by vitamin A deficiency



Source: Philippine Government Statistics 1998, 2003

Symptoms of Vitamin A Deficiency

- Night blindness
- Irreversible blindness (Xerophthalmia)
- Anemia
- Impaired immunity exacerbates infectious disease (measles)
- Morbidity & impaired development
- Mortality
- Most at risk: Children under 5; pregnant and lactating mothers in rural areas

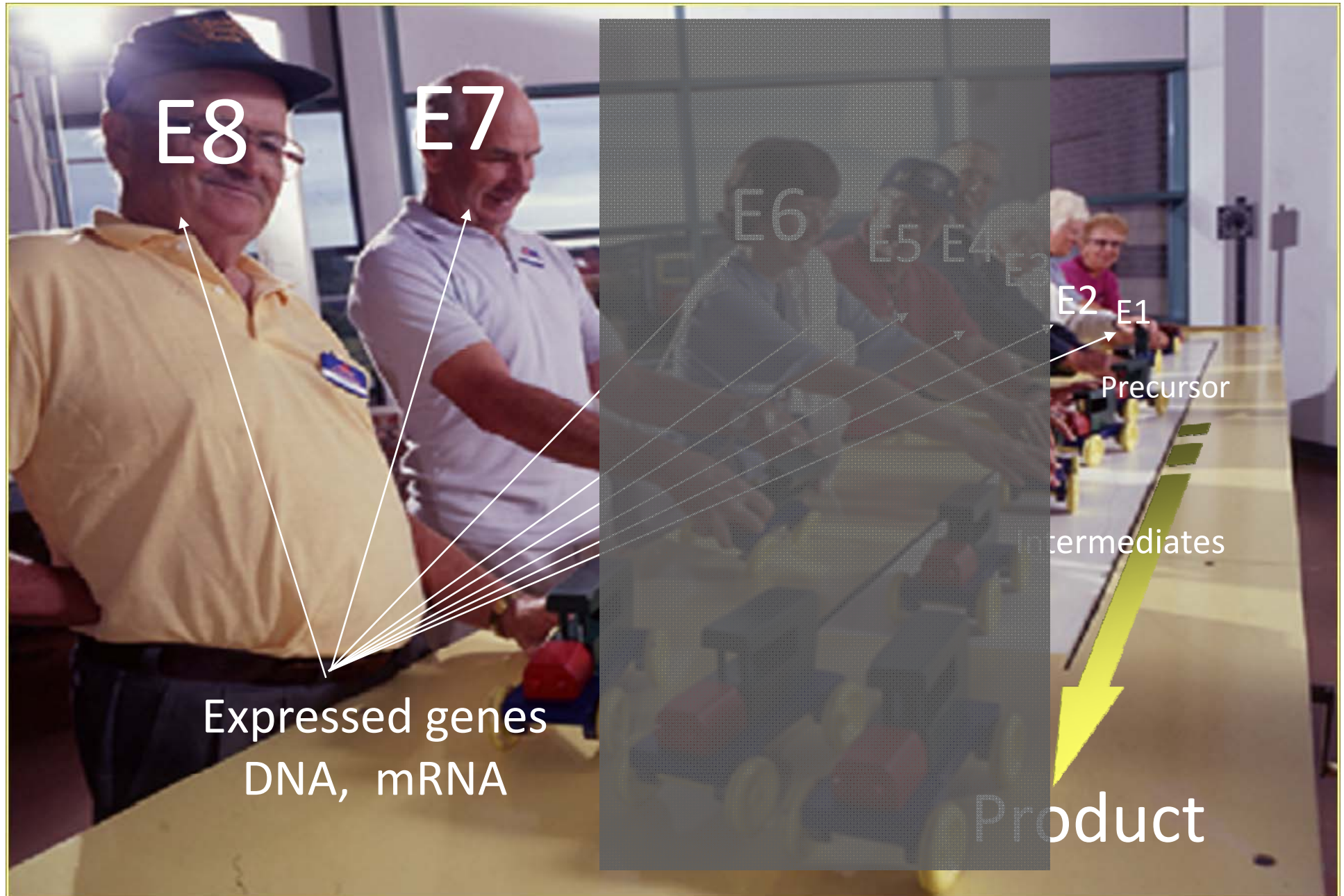


Providing adequate amounts of vitamin A could reduce overall child mortality by 23-34%.

Assembly-line technologies

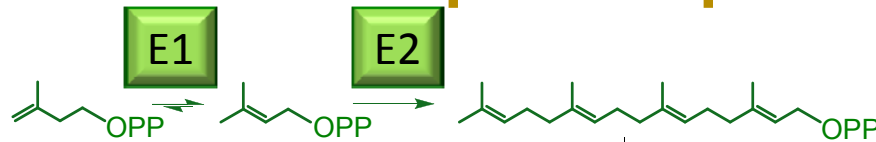


Assembly-line technologies

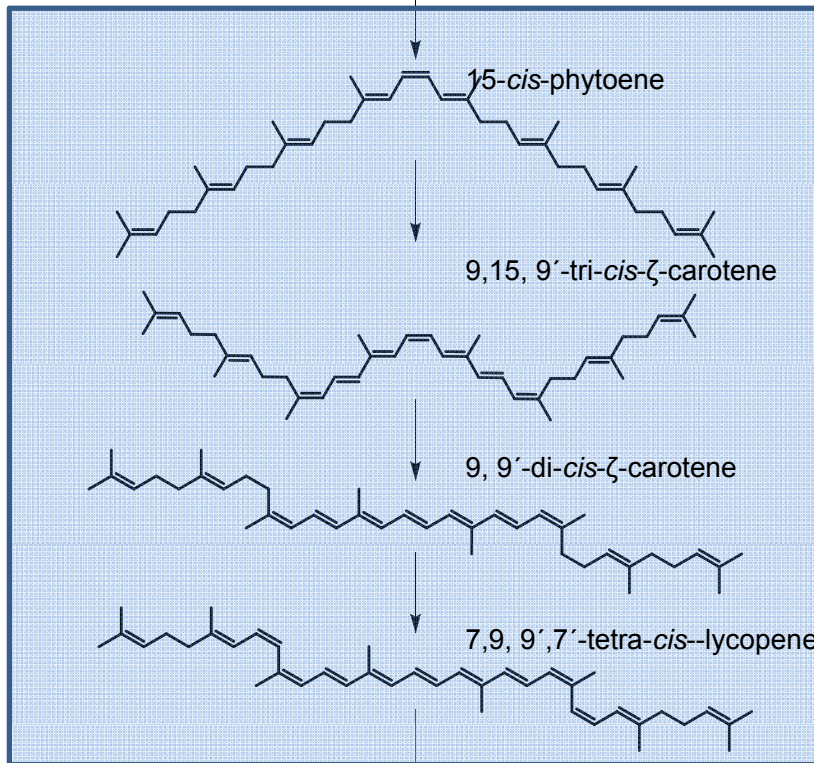


The Golden Rice principle: Filling a gap

Wild-type endosperm

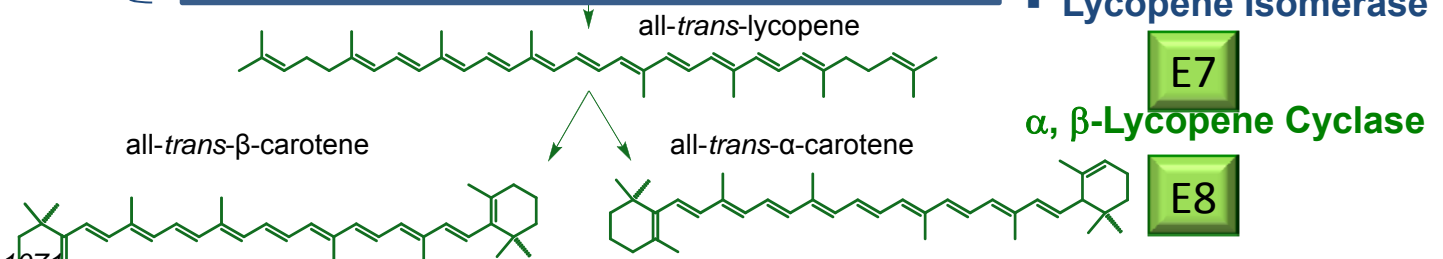


„Absent“

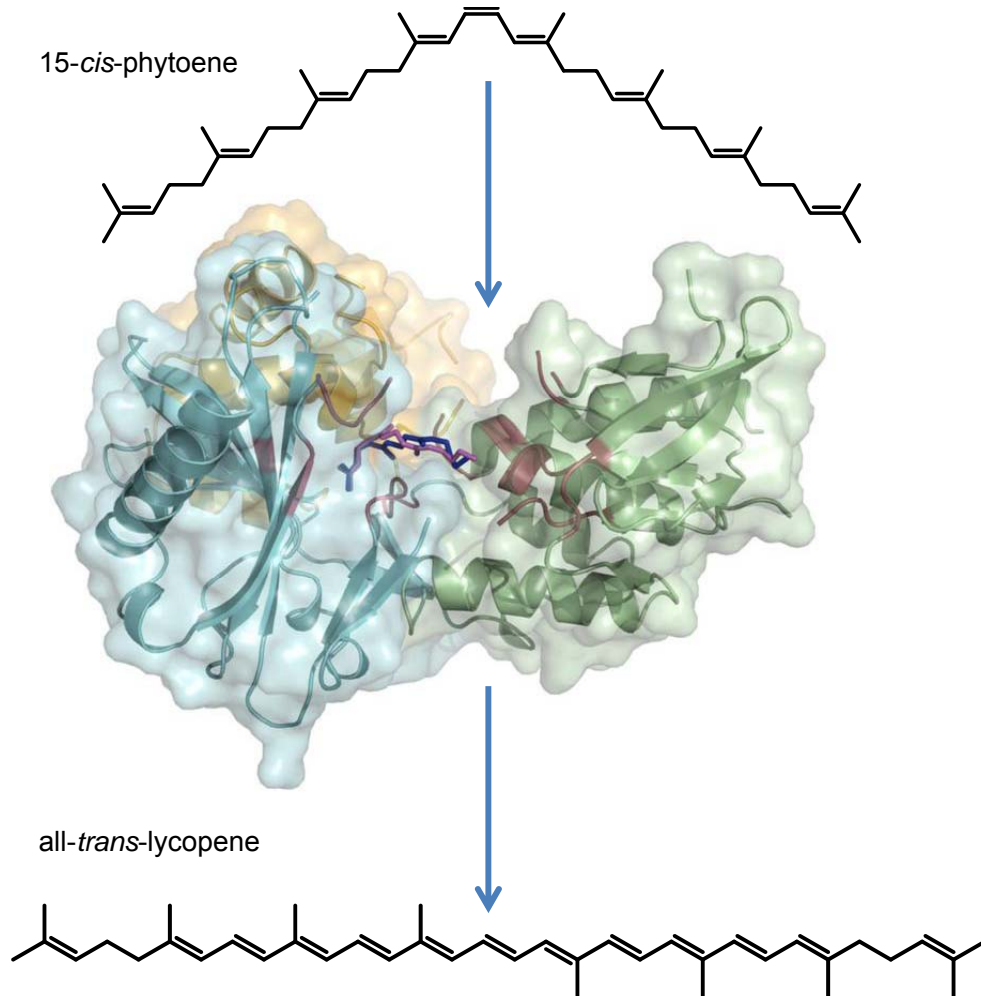


- Phytoene Synthase E3
- Phytoene Desaturase E4
- ζ-Carotene Isomerase E5
- ζ-Carotene Desaturase E6
- Lycopene Isomerase E7

Wild-type endosperm



Luckily, there is CRTI

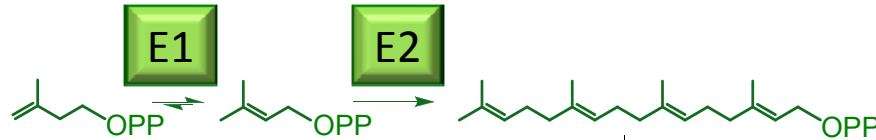


Pantoea ananatis carotenoid gene cluster

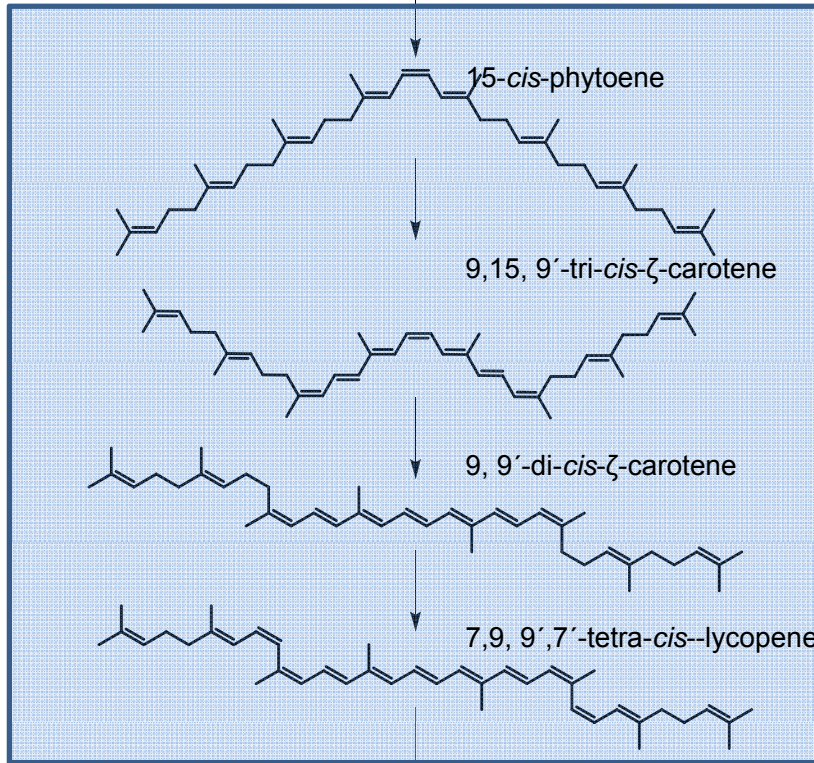
In one step!
CRTI substitutes for
4 plant enzymes

Pathway reconstruction

Wild-type endosperm

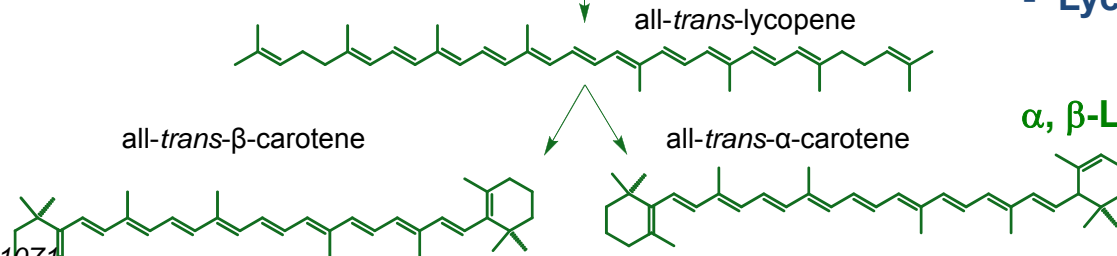


„Absent“



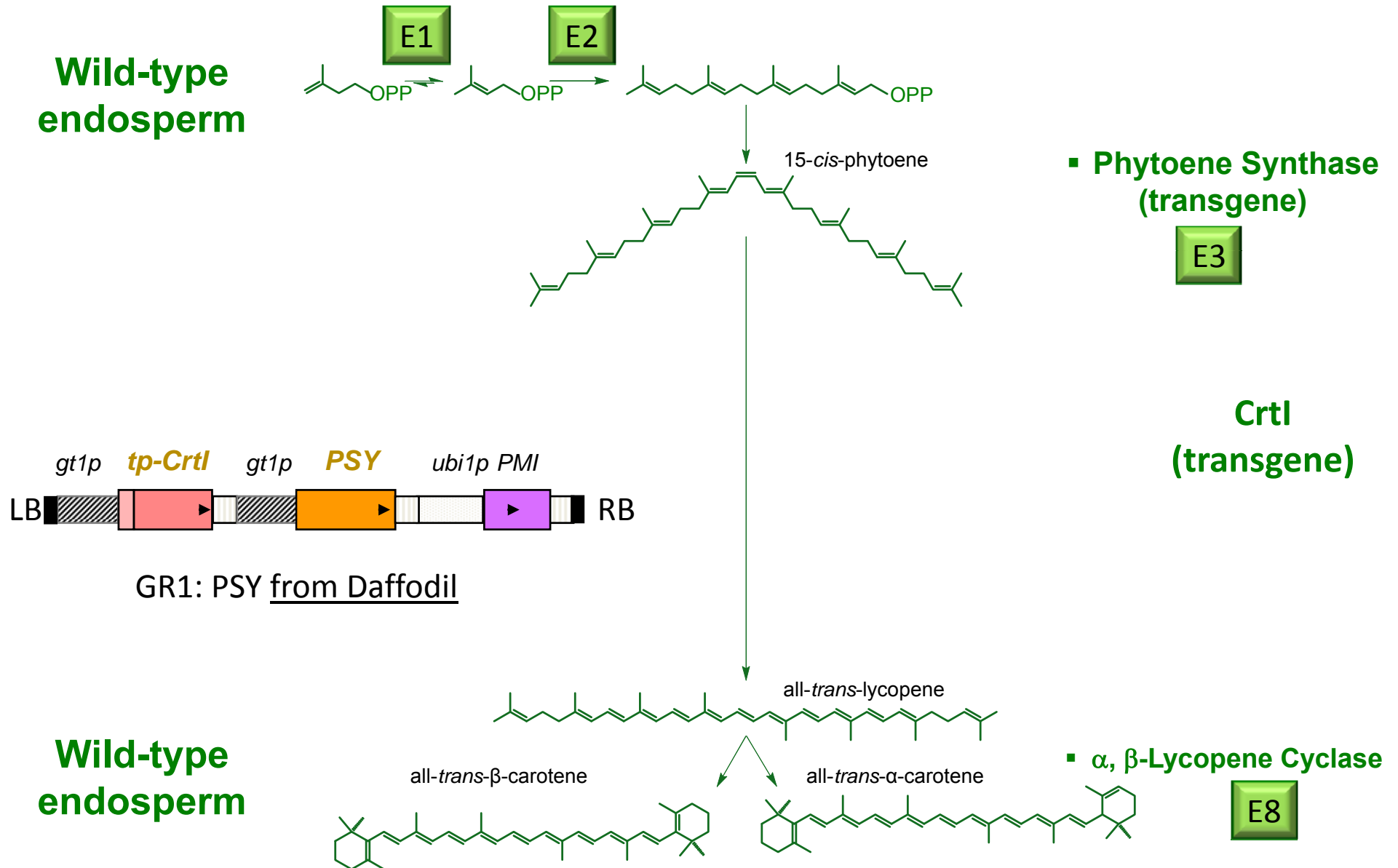
- Phytoene Synthase E3
- Phytoene Desaturase E4
- ζ-Carotene Isomerase E5
- ζ-Carotene Desaturase E6
- Lycopene Isomerase

Wild-type endosperm



- α, β-Lycopene Cyclase E7
- E8

Only two biosynthetic transgenes needed



Golden Rice: Technology development



Happy Easter

Prototypes are not apt for
product development

Ye et al., 2000; Science 287:303

■ Technology Development

Golden Rice 1 was initially considered a product



GR- Humanitarian Board

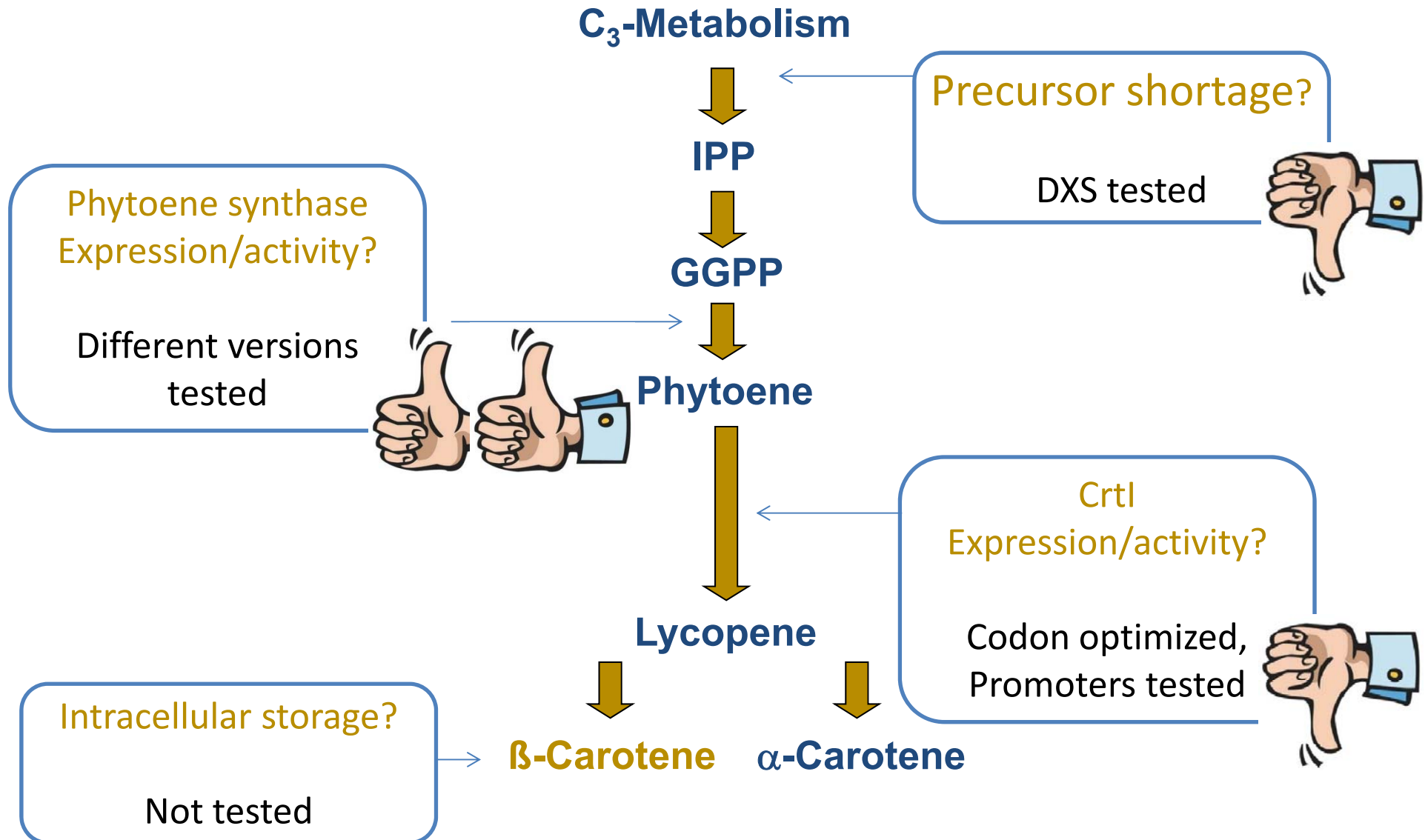


- Events preselected
- Field trials at Louisiana State University
- Carotenoid content 4.1 – 7.1 $\mu\text{g/g}$ (ca. 4-7 times over prototype)



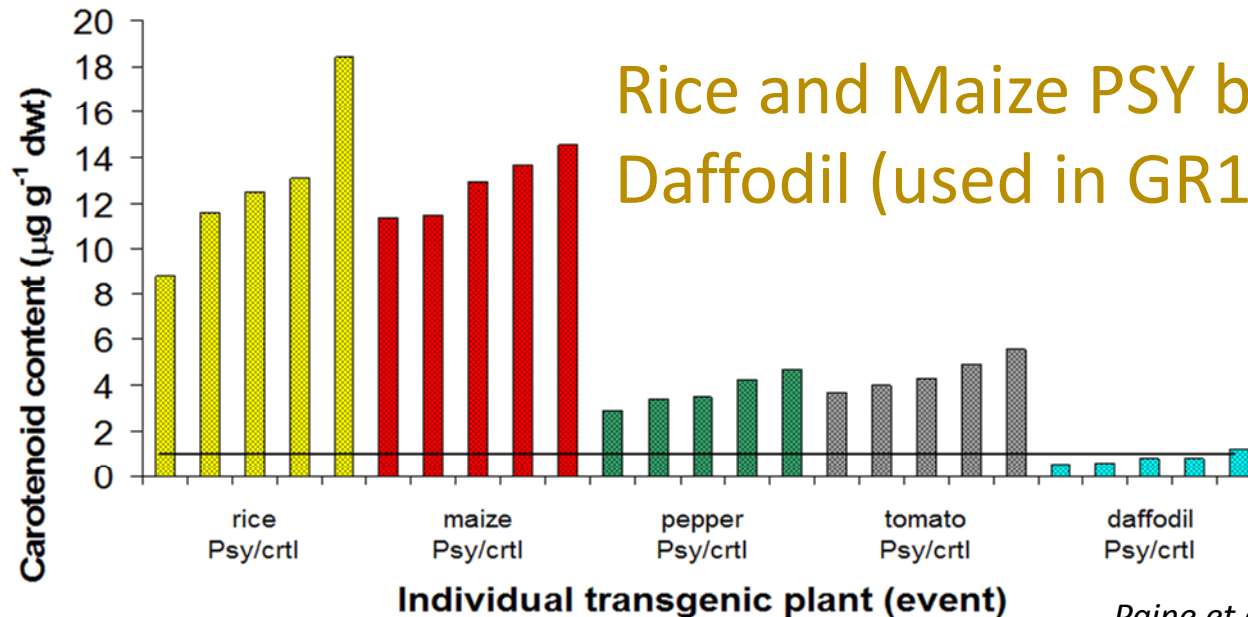
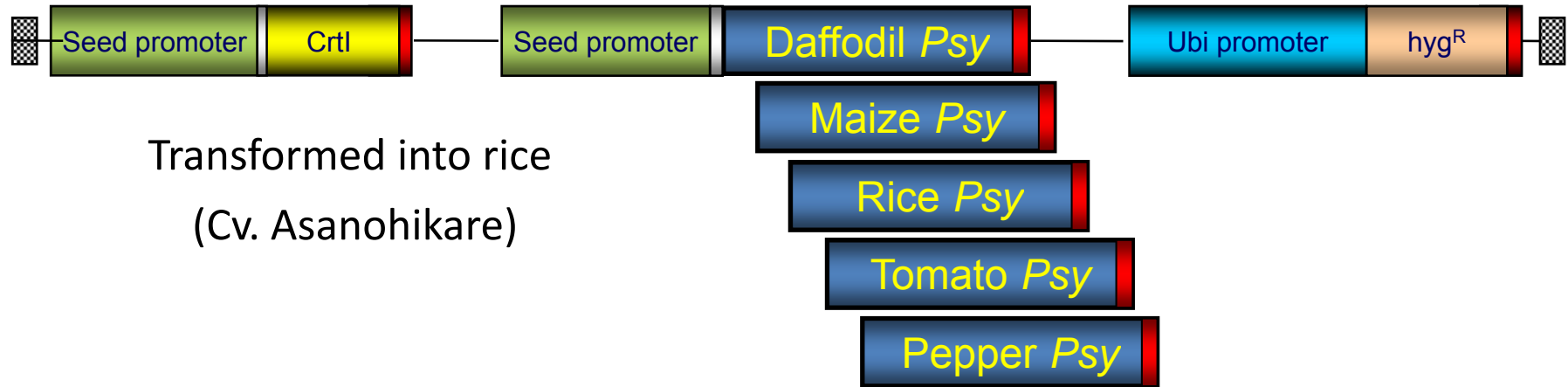
Towards higher levels: Golden Rice 2

Where's the bottleneck?



The key experiment

Since PSY is well expressed in GR1, alternative PSY genes were assayed (Syngenta).

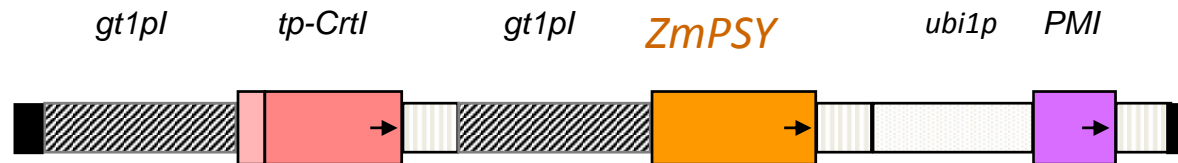


Rice and Maize PSY best!
Daffodil (used in GR1) least effective!

Assembly-line technologies



Carried into a production pipeline (Syngenta)



Transform long grain rice variety (Kaybonnet)

Sugar (mannose) selectable marker (PMI)

619 independent events

Screen for seed colour, gene copy number, integration quality, fertility, etc.

Preselect six **“Golden Rice 2”** events for further screening and development

GR1



„Shades of Golden“

Provitamin A increase in GR2:

ca. 10-fold over GR1

ca. 25-fold over prototype

WT



Prototype



GR2



The essentials apply to various crops, like

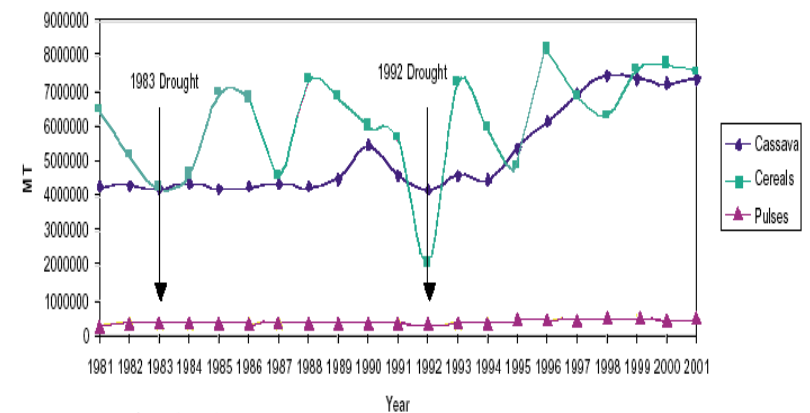
1. Cassava (PSY only)

- Ranks 5th among staple crops directly consumed (No. 1 in Sub-Saharan Africa). Provides food security.
- Very low in micronutrients
Provita A (mostly) 1-5 µg/g; VitE, 1 µg/g;
Iron 5 ppm, Zinc 1 ppm (fresh weight)
- Varietal recovery very difficult upon breeding (vegetatively propagated)
- Very long breeding cycle, however, provitamin A breeding is underway at CIAT



Welsch et al. (2010) Plant Cell 22, 3348

■ Figure 1. Total output for crops in five cassava-producing, drought-affected southern African countries from 1981 to 2001.



Source: FAO website (2002)

2. Potato (mini-pathway) CRTB, CRTI, CRTY

- Ranks 4th among staple crops directly consumed
- Carotenoid-containing varieties are known
- Breeding is difficult (vegetatively propagated)
- Product development not planned



Diretto et al., (2007) PLoS ONE 2(4):e350
Diretto et al., (2010) Plant Phys. 154, 899
EC Programs and HarvestPlus



3. Banana -Plantain (PSY only)

- Ranks 10th among staple crops directly consumed
- Important staple in East Africa (Uganda: 222 kg/person year.
- Very low in micronutrients (ProvitA 2.7 µg/g; Vit E 1 µg/g; Iron 2.6 ppm, fresh weight)
- Conventional breeding: extremely difficult as bananas are essentially sterile: Most current cultivars are sterile triploids selected from the wild
- Have not been genetically improved for thousands of years
- Huge challenges from global movement of devastating diseases



Courtesy of J. Dale, QUT Australia ,GCGH

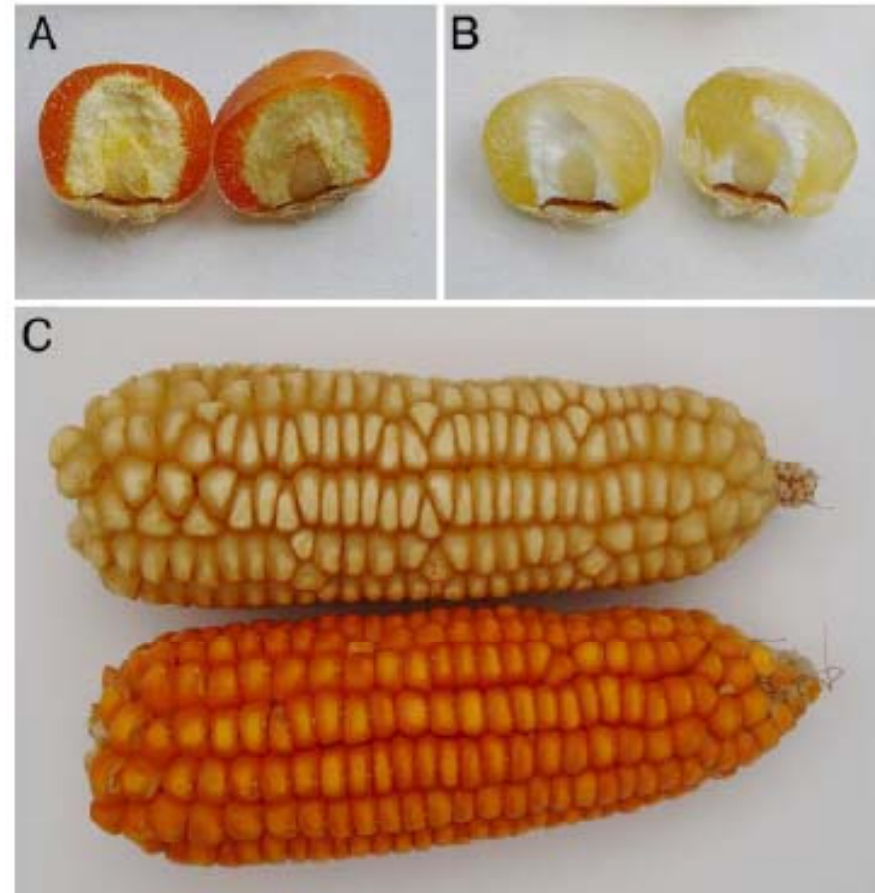
5. Maize (PSY-CRTI)

Maize is the world's most important staple crop.

The pathway proceeds beyond beta carotene. The genetic variability for high carotenoid levels is large, but low for provitamin A carotenoids.

A PSY-CRTI combination, as used in GR boosts β -carotene production in an African white cultivar to 60 $\mu\text{g/g}$!

Breeding approaches (ongoing) have yielded so far ca.14 $\mu\text{g/g}$ β -carotene.



Naqvi et al., PNAS, 2009
Harjes et al., Science 2008

Golden Rice breeding from ca. 2005-2010

GR2 events were bred into selected rice cultivars (MAS)

Examples:

- IR64 & IR36: Mega-varieties, broad Asian coverage
- BRRI dhan 29 : Most popular *boro* rice variety in Bangladesh
- PSB Rc82: Most popular in the Philippines
- OS 6561: Most popular in Vietnam
- Chehirang: Leading variety in Indonesia (with IRRC)
- & Stacking Zinc, iron, lysine & agronomic traits

Golden Rice Network

- IRRI (Int.), lead
- Philrice (Philippines)
- BRRI (Bangladesh)
- CLRRI (Vietnam)
- IARI (India)
- TNAU (India)
- DRR (India)
- Huazhong Univ. (China)
- IRRC (Indonesia)



GR Network members are licensees

Some key license terms:

Granted rights for humanitarian use:

- In developing countries
- Resource-poor farmers (earning < 10 000 \$ p.a.)
- In public germplasm
- No charge for the technology
- Replanting is allowed
- National trade is allowed



Obligations

- Regulatory imperative – national or international regulations
- No export (except other licensees)

Breeding led to event selection

GMO regulation is based on the „event“. (There can be only one!)

Starting point: Six GR2 events, all single locus intact integration

- Which event(s) produce consistent levels of provitamin A across cultivars?
- Which event(s) reproduce consistently the characteristics of the recurrent parents?

And the big question :

- Which level of provitamin A must be delivered by GR to be effective?



Golden Rice Network meeting 2009

Members agree to lead & backup event.

■ Potential of GR

Bioavailability/Bioconversion of GR

Conducted at TUFTS University.
Five adults.

Very good bioavailability of 3.8 : 1 !

Tang et al. (2009) Am J Clin Nutr 89:1776–83

Reg.: <https://www.clinicaltrials.gov> NCT00680355



Follow-up study in China
63 children, marginally vit A deficient, 6-8 yr.

Unsurpassable!

Spinach	7.5 : 1
β -carotene in oil	2 : 1
Golden Rice	2 : 1
Retinol (reference dose)	1 : 1

Tang et al. (2012) Am J Clin Nutr 96: 658-664

Reg.: <https://www.clinicaltrials.gov> ID NCT00680212.



Provitamin A in GR is highly bioavailable

50 g (dry weight) of Golden Rice (20 $\mu\text{g/g}$) can provide ~60% of the Recommended Daily Allowance (RDA) - ca. 100% of the Estimated Average Requirement (EAR) - for vitamin A for 6-8 year old Chinese children.



50 grams of Golden Rice can make a large difference



Tony Alfonso
PhilRice

Emerging consent



“Since a large proportion of vitamin A–deficient children and their mothers reside in rice-consuming populations, particularly in Asia, Golden Rice should substantially reduce the prevalence and severity of vitamin A deficiency, and prevent at least hundreds of thousands of unnecessary deaths and cases of blindness every year”.

- Dr. Alfred Sommer -
Professor and Dean Emeritus
Johns Hopkins Bloomberg School of Public Health

Ex-ante analyses

Suggest that Golden Rice is most cost-effective
Case studies in India, Bangladesh, The Philippines

$$DALYs_{lost} = \sum_j T_j M_j \left(\frac{1 - e^{-rL_j}}{r} \right) + \sum_i \sum_j T_j I_{ij} D_{ij} \left(\frac{1 - e^{-rd_{ij}}}{r} \right)$$

India	Cost per DALY saved Highest efficiency	Cost per DALY saved Lowest efficiency
Supplementation	134 \$	599 \$
Golden Rice	3 \$	19 \$

WHO effectiveness standard for DALYs saved : \$ 620 – 1,850

World Bank effectiveness standard for DALYs saved: \$ 200

Stein et al. (2006) Nat. Biotechnol. 24, 200

Zimmermann & Qaim (2004) Food Pol. 29, 147

Zimmermann & Faruk (2006) ZEF Disc. Dev. Pol 104

■ Where it stands

The Current GR Project (2011 – 2014)

Implement GR in the Philippines (first launch) and Bangladesh

International Rice Research Institute (IRRI)

- Administration and coordination
- Technical support
- Capacity building, and safety research

Philippine Rice Research Institute (PhilRice)

Bangladesh Rice Research Institute (BRRI)

- Performance and safety research
- Market introduction

Biosafety Resource Network

- Advice on regulatory matters
- Compilation of the Regulatory Dossier

SeedStories & Natl. Partners

- ✓ Communication

Helen Keller International (HKI)

- Evaluate the efficacy of Golden Rice to impact vitamin A status

University of Freiburg

- Next generation of GR



GR Projects in India, Vietnam , China and Indonesia run on National funding and are not on the same timelines

Project aims

Move GR through the regulatory process & prove safety

- Molecular data sets ✓
- Screenhouse evaluation ✓
- Confined field trials in one location ✓
- Multilocational field trials, data collection ✓

Examples:

- Prove in the field that yield, pest resistance, and grain qualities are as before.
- Data on protein expression
- Cooking and taste tests
- Compositional analyses.....etc.



First confined field trial, IRRI, 2008

Project aims

Assessing safety

Golden Rice is being analyzed according to internationally accepted guidelines for the safe use of modern biotechnology.

1. Codex *Alimentarius* of the FAO and WHO
 - Guideline for the Conduct of Food Safety Assessment from Recombinant-DNA Plants (CAC/GL 45-2003)
 - Food Safety Assessment from Recombinant-DNA Plants Modified for Nutritional or Health Benefits: Annex 2 (2008)
2. OECD Consensus Guidelines
3. Cartagena Protocol on Biosafety

Philippines regulations are based on these guidelines.

The Philippines have approved seven GMO crops for food and feed.

(<http://agbios.com/dbase.php>),

Project aims

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Golden Rice is being analyzed according to internationally accepted guidelines for the safe use of modern biotechnology.

1. Codex *Alimentarius* of the FAO and WHO

PhilRice and BRRI plan to submit all safety information to their national government regulators during 2013 in the Philippines and 2015 in Bangladesh

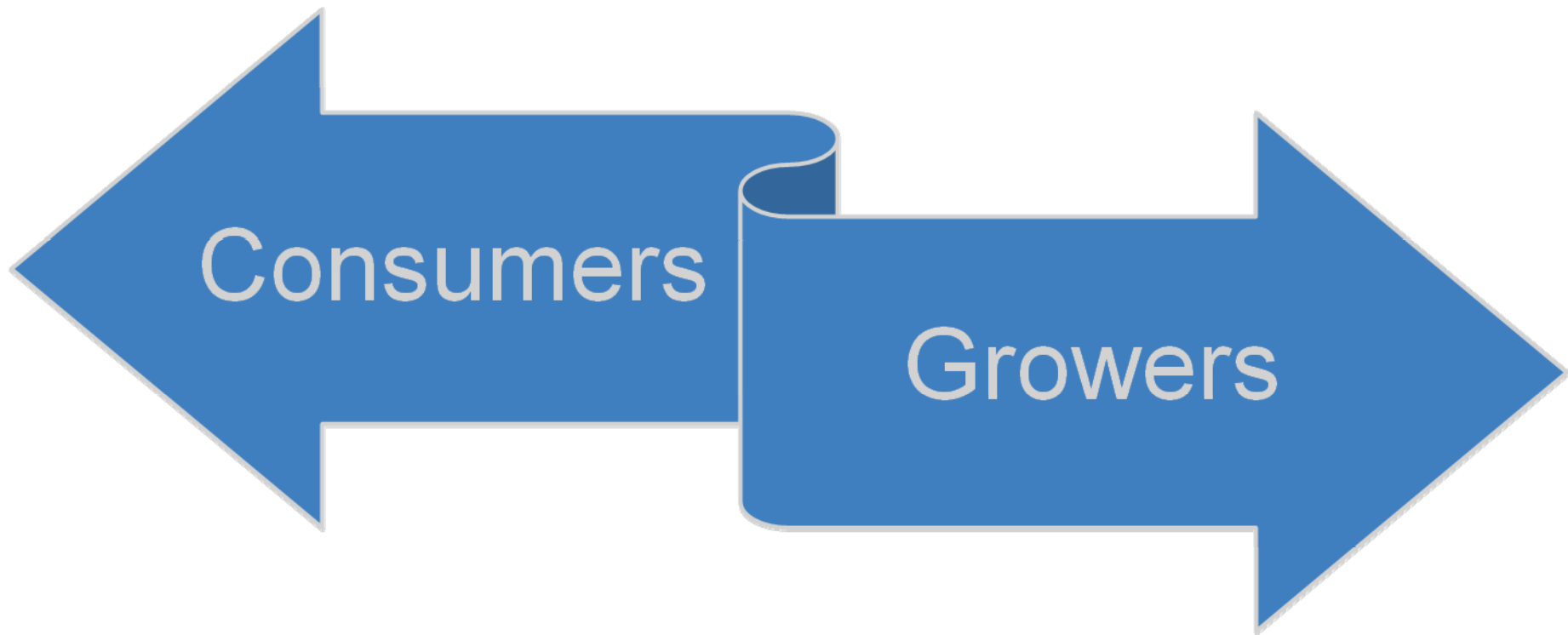
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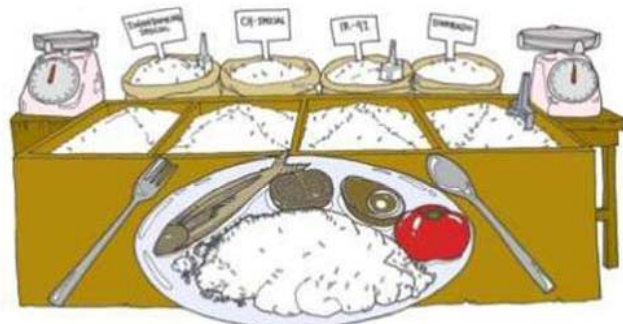
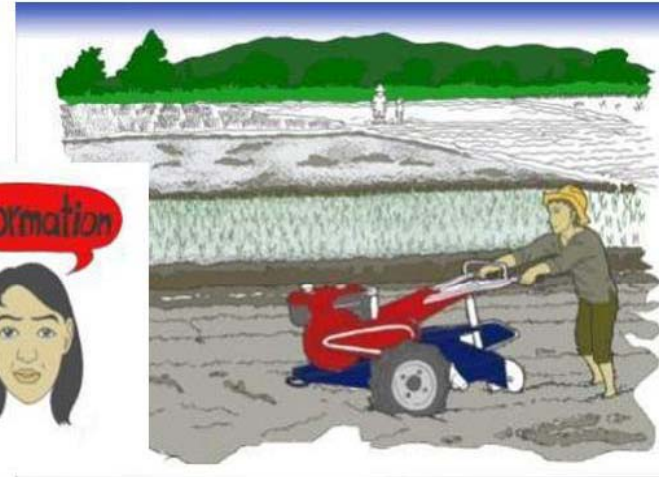
(<http://agbios.com/dbase.php>),

What do people think?



& additional stakeholders

Talking to people



■ Beyond GR approval

Perception can change

Introduction of Orange-Fleshed Sweet Potato in Uganda and Mozambique by HarvestPlus
www.harvestplus.org



Jaarsveld et al., (2005) Am. J. Clin Nutr. 81, 1080
Hotz et al., (2012) Brit. J. Nutr. 108, 163-176

Reaching those in need



A delivery program is being designed to ensure that Golden Rice is acceptable and accessible in vitamin A deficient communities.

The aim:

- Communities could have ongoing access to Golden Rice without any additional aid or intervention
- Golden Rice would be theirs to choose to grow and consume as they do with other rice.

Demonstrating Efficacy

Does daily consumption of Golden Rice improve the vitamin A status of vitamin A deficient adults?

- The study will be conducted under controlled community conditions to determine if eating Golden Rice every day improves vitamin A status and could therefore contribute to reducing vitamin A deficiency.
- Coordinated by Helen Keller Int. and the University of California, Davis, working with local partners

This study will be carried out only if biosafety is confirmed

Are we being slow?

- 
- 2000 – Proof of principle
 - 2005 – Technology improvement
 - 2010 – Breeding & event Selection
 - 2013 (?) – Regulatory submission Philippines
 - 2015 (?) – Regulatory submission Bangladesh



Industries estimate ca. 10 -13 years for this process

Summary

- Vitamin A deficiency remains a serious public health problem.
- Golden Rice and Golden Crops are a potential new and complementing way to address vitamin A deficiency
- Leading nutrition and agricultural research organizations are working together to develop evaluate and introduce these crops.

Financial support

- Bill & Melinda Gates Foundation
- Rockefeller Foundation
- U.S. Agency for International Development
- Department of Agriculture – Philippines
- National funding in additional countries
- No contribution in €



GR2



GR1

Wild-Type

For additional information, see www.goldenrice.org
www.irri.org/goldenrice/